Palette Wealth Management Private Limited

Complaint Data for Research Services

In order to enhance transparency in grievance redressal, Registered Research Analyst are expected to disclose the details of investor complaints by 7th of the succeeding month. You can lodge complaints and grievances by writing to this email compliance@palettewealth.com

Data for the month ending – Oct 2026

Sr. No	Received From	Pending at the end of last month	Received	Resolved *	Total Pending	Pending Complains > 3 months	Average Resolution time^ (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	–
2	SEBI-(Scores)	NIL	NIL	NIL	NIL	NIL	–
3	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	–
	Grand Total	NIL	NIL	NIL	NIL	NIL	–

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Trend of monthly disposal of complaints:

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	Apr-26	NIL	NIL	NIL	NIL
2	May-26	NIL	NIL	NIL	NIL
3	Jun-26	NIL	NIL	NIL	NIL
4	Jul-26	NIL	NIL	NIL	NIL
5	Aug-26	NIL	NIL	NIL	NIL
6	Sep-26	NIL	NIL	NIL	NIL
7	Oct-26	NIL	NIL	NIL	NIL

Trend of annual disposal of complaints:

Sr. No.	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2024-25	NIL	NIL	NIL	NIL
2	2025-26	NIL	NIL	NIL	NIL

** Inclusive of complaints of previous years resolved in the current year.
Inclusive of complaints pending as on the last day of the year.