

Palette Wealth Management Private Limited

Annexure A

The particulars given in this Disclosure Document have been prepared in accordance with SEBI (Research Analyst) Regulations, 2014.

The purpose of the Document is to provide essential information about the Research and recommendation Services in a manner to assist and enable the perspective client/client in making an informed decision for engaging in Research and recommendation services before investing.

For the purpose of this Disclosure Document, Research Analyst is Palette Wealth Management Private Limited, (hereinafter referred as "Research Entity")

Descriptions about "Research Entity" :

We are a company started by professionals, for professionals. With decades of industry experience, we bring deep expertise and an extensive network to serve our clients.

We cater to the investment and financial needs of the Indian ultra-high net worth community. We combine profound domain knowledge with a deep understanding of market cycles and risk reward to deliver exceptional outcomes for our clients.

At Palette, we operate as a true partnership with all our stakeholders offering the best that the industry has to offer, always with a commitment to excellence and long-term success.

Research Entity is registered with SEBI as Research Analyst with Registration No. INH000021669. The firm got its registration on July 10, 2025, and is engaged in research and recommendation services.

The focus of Research Entity is to provide research and recommendations services to the clients. Research Entity aligns its interests with those of the client and seeks to provide the best suited services.

Terms and Conditions of Research and Recommendation Services :

Terms and Conditions of Research and Recommendation Services are detailed in the agreement executed with clients.

Disciplinary History :

There are no pending material litigations or legal proceedings, findings of inspections or investigations for which action has been taken or initiated by any regulatory authority against the Research Entity, Research Analyst or its associates or relatives.

Details of Associates :

The Research Entity has no Associates

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Disclosures with respect to Research and Recommendations Services :

- Research Entity or Research Analyst or his associate or his relative may have financial interest or actual / beneficial ownership of one per cent or more in the securities recommended in its personal portfolio at the end of the month immediately preceding the date of publication of the research report or date of the public appearance. Details of the same may be referred through the disclosures made at the time of advice.
- There are no actual or potential conflicts of interest arising from any connection of Research Entity or Research Analyst or his associate or his relative to or association with any issuer of products / securities, including any material information or facts that might compromise its objectivity or independence in the carrying on of Research Analyst services. Such conflict of interest shall be disclosed to the client as and when they arise.
- Research Entity or Research Analyst or its associates or relatives, may have actual / beneficial ownership of one per cent or more securities of the subject company, at the end of the month immediately preceding the date of publication of the research report or date of the public appearance or research recommendation. Details of the same may be referred through the disclosures made at the time of advice.
- Research Entity or Research Analyst or its associate or relatives has no connection or association of any sort with any issuer of products/ securities recommended herein.
- Research Analyst or his associate or his relative has no actual or potential conflicts of interest arising from any connection to or association with any issuer of products / securities, including any material information or facts that might compromise its objectivity or independence in the carrying on of research and recommendations services.
- Research Entity or Research Analyst or its associates has not received any kind of remuneration or consideration from the products / securities recommended herein.
- Research Entity or Research Analyst or its associates have not received any compensation from the subject company in past 12 months.
- Research Entity or Research analyst or its associates have not managed or co-managed the public offering of Subject Company in past 12 months.

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- Research Entity or Research Analyst or its associates have not received any compensation for investment banking or merchant banking or brokerage services from the subject company in past 12 months.
- Research Entity or Research Analyst or its associates have received any compensation for products or services other than investment banking or merchant banking or brokerage services from the subject company in the past twelve months.
- Research Entity or Research Analyst or its associates have not received any compensation or other benefits from the subject company or third party in connection with the research report or research recommendations.
- Research Entity or Research Analyst or its associates have not received any compensation for products or services from the subject company in past 12 months.
- The subject company is or was not a client of Research Entity or Research Analyst or its associates during twelve months preceding the date of distribution of the research report and recommendation services provided.
- Research Analysts or its associates has not served as an officer, director or employee of the subject company.
- Research Analysts has not been engaged in market making activity of the subject company.

Disclaimer :

- ***Registration granted by SEBI and BSE and certification from NISM in no way guarantee performance of the intermediary or provides any assurance of returns to investors.***
- ***Palette Wealth Management Private Limited makes no warranties or representations, express or implied, on products and services offered. It accepts no liability for any damages or losses, however, caused in connection with the use of, or on the reliance of its research and recommendation services. Past performance is not indicative of future returns. Please consider your specific investment requirements, risk tolerance, goal, time frame, risk and reward balance and the cost associated with the investment before choosing an investment option or designing an investment strategy that suits your needs. Performance and returns of any investment strategy can neither be predicted nor guaranteed. Investment in securities market are subject to market risks. Read all the related documents carefully before investing.***